#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hai-Zhi SONG

Serial Number: Not Yet Assigned

Filed: February 20, 2004

For: QUANTUM SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING

THE SAME

### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

February 20, 2004

Sir:

In compliance with 37 CFR 1.56, Applicant calls to the attention of the Patent and Trademark Office the references listed on the attached PTO-1449.

A copy of each of the references, except for the U.S. patent reference, is enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge

Deposit Account No. 01-2340.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; References (10)

| INFORMATION                         | Atty. Docket No. 040070        |
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| DISCLOSURE<br>STATEMENT<br>PTO-1449 | Applicant(s): Hai-Zhi SONG     |
|                                     | Filing Date: February 20, 2004 |

| ket No. <b>040070</b>        | Serial No. New Application       |
|------------------------------|----------------------------------|
| t(s): Hai-Zhi SONG           |                                  |
| te: <b>February 20, 2004</b> | Group Art Unit: Not Yet Assigned |

# U.S. PATENT DOCUMENTS

| Examin<br>Initial | er |          | Document No. | Name             | Date     | Class | Subclass | Filing Date<br>(If<br>appropriate) |
|-------------------|----|----------|--------------|------------------|----------|-------|----------|------------------------------------|
|                   |    | AA<br>AB | 6,335,245    | B.G. Park et al. | 01/01/02 |       |          |                                    |

## FOREIGN PATENT DOCUMENTS

|          |                | Document No.                        | Date                             | Country                 | Translation (Yes or No)                |
|----------|----------------|-------------------------------------|----------------------------------|-------------------------|--|
|          | AC             | 6-196720                            | 07/15/94                         | Japan                   | Yes-Abstract                           |
| <u> </u> | AD             | 2002-518851                         | 06/25/02                         | Japan                   | No-Corresponds to WO 99/66562          |
|          | AE             | 10-303408                           | 11/13/98                         | Japan                   | Yes-Abstract                           |
|          | AF             | 11-150261                           | 06/02/99                         | Japan                   | Yes-Abstract                           |
|          | AG<br>AH<br>AI | 5-211342<br>2000-91451<br>2001-7315 | 08/20/93<br>03/31/00<br>01/12/01 | Japan<br>Japan<br>Japan | Yes-Abstract Yes-Abstract Yes-Abstract |

## OTHER DOCUMENTS

|          | AJ U.F. Keyser et al.; "Fabrication of a single-electron transistor by current-controlled local oxidation of a two-dimensional electron system"; <i>Applied Physics Letters</i> ; Vol. 76; No. 4; January 24, 2000; pp. 457-459.  |
|----------|---|
|          | AK  T. Fujisawa et al.' "Transient current spectroscopy of a quantum dot in the Coulomb blockade regime"; <i>Physical Review B</i> ; Vol. 63; 081304(R); 2001; 4 pages.  AL  Abstract of WO 99/66562 published December 23, 1999/Corresponds to Japanese document number 2002-518851. |
| Examiner | Date Considered   |